



3651

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of:

James F. SULLIVAN, Jr.

For:

CONVEYING SYSTEM AND METHOD

U.S. Serial No.

09/971,716

Filed:

October 4, 2001

Group Art Unit:

3651

Examiner:

To Be Assigned

Assistant Director

for Patents

Washington, D.C. 20231

Dear Sir:

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Director for Patents, Washington, DC 20231, on

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DWAYNE C. NORTON  
Name of Applicant, Assignee, or Registered Representative

*Dwayne C. Norton*  
Signature

NOVEMBER 27, 2001  
Date of Signature

**INFORMATION DISCLOSURE STATEMENT**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant wishes to bring the following items to the attention of the Examiner. Copies of the documents are enclosed for the convenience of the Examiner.

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects its relative pertinence. The references cited below are not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that the items listed below are properly citable against the above-identified application.

- (1) Casteel, U.S. Patent No. 4,199,053, issued April 22, 1980;

- (2) Burghart, U.S. Patent No. 4,260,051, issued April 7, 1981;
- (3) Carmichael, U.S. Patent No. 4,313,535, issued February 2, 1982;
- (4) Lehtola, U.S. Patent No. 4,768,647, issued September 6, 1988;
- (5) Harper, U.S. Patent No. 4,813,532, issued March 21, 1989;
- (6) McMillan et al., U.S. Patent No. 5,029,697, issued July 9, 1991;
- (7) Hufford, U.S. Patent No. 5,555,967, issued September 17, 1996;
- (8) Dean, U.S. Patent No. 5,850,906, issued December 22, 1998;
- (9) Pellegrino, U.S. Patent No. 5,868,241, issued February 9, 1999;
- (10) Patterson, U.S. Patent No. 6,019,216, issued February 1, 2000;
- (11) Burgess, Jr. et al., U.S. Patent No. 5,392,898, issued February 28, 1995;
- (12) Burgess, Jr. et al., U.S. Patent No. 5,584,375, issued December 17, 1996;
- (13) Slipstick Conveyor, Brochure pgs. 1-14, Triple/S Dynamics, Inc.;
- (14) Smalley et al., U.S. Patent No. 5,046,602, issued September 10, 1991;
- (15) Svejksky, U.S. Patent No. 5,351,807, issued October 4, 1994;
- (16) Svejksky, U.S. Patent No. 5,699,897, issued December 23, 1997;
- (17) Ballo, U.S. Patent No. 3,288,095, issued November 29, 1966;
- (18) Pfau, U.S. Patent No. 2,524,734, issued October 3, 1950;
- (19) Hartsell, U.S. Patent No. 3,604,278, issued September 14, 1971;
- (20) Conrad, U.S. Patent No. 2,360,762, issued October 17, 1944;

- (21) Burton et al., U.S. Patent No. 3,805,627, issued April 23, 1974;
- (22) Barr, U.S. Patent No. 4,528,860, issued July 16, 1985;
- (23) Payne et al., U.S. Patent No. 1,219,861, issued March 20, 1917;
- (24) Baldwin et al., U.S. Patent No. 1,307,474, issued June 24, 1919;
- (25) Day, U.S. Patent No. 4,245,516, issued January 20, 1981;
- (26) Long et al., U.S. Patent No. 5,692,986, issued December 2, 1997;
- (27) Brems, U.S. Patent No. 3,857,292, issued December 31, 1974;
- (28) Brems, U.S. Patent No. 3,859,862, issued January 14, 1975;
- (29) Brems, U.S. Patent No. 4,726,240, issued February 23, 1988;
- (30) Soviet Union, Patent No. 630,146 A, issued October 30, 1978;
- (31) DeNijs et al., U.S. Patent No. 5,690,567, issued November 25, 1997;

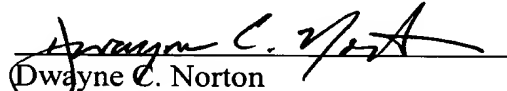
and

- (32) Roman, U.S. Patent No. 4,573,566, issued March 4, 1986.

Applicant considers the invention to be distinguishable over the above-cited documents.

As this Information Disclosure Statement is being filed within three months of the filing date or before the mailing date of a first Office Action, whichever occurs last, no fee is required. If it should be determined that a fee is required, please charge any required fee (other than the issue fee ) during the pendency of this application to Sidley Austin Brown & Wood's Deposit Account No. 18-1260.

Respectfully submitted,

  
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